

### ANNEXURE – III

(Annexed to the application for the TMB IS Audit assignment for 2020-21)

#### Applicant Profile

Description	Details
The registered name of the Applicant	
Constitution	
Names of Proprietor / Partners	
Applicant's registered address	
Contact addresses if different from above	
Applicant address for correspondence	Address:  STD- phone: e-mail Id: FAX No:
Contact name of the official who can commit on the contractual terms and the name of an alternate official who may be contacted in the absence of the former	Primary contact: Name: Designation: STD- phone no: Mobile phone : e-mail ID :  Alternate contact: Name : Designation: STD- phone no: Mobile phone : e-mail ID :
Core business of applicant	
Applicant's organization has been in existence since (date)	
Applicant is registered with Cert-IN	
Applicant is engaged in Information Systems Audits since (month & year)	

Description	Details																
Whether Information Systems Audit is a core function of the applicant?																	
Details of assignments where the Applicant has performed IS audit of CBS implementation in Banks.																	
Names of the Banks where IS Audit was undertaken by the applicant till 31.03.2020	<table border="1"> <thead> <tr> <th data-bbox="632 589 975 647">Name of the Bank</th> <th data-bbox="978 589 1179 647">Audit begin-date</th> <th data-bbox="1182 589 1374 647">Audit end-date</th> </tr> </thead> <tbody> <tr> <td data-bbox="632 651 975 680"></td> <td data-bbox="978 651 1179 680"></td> <td data-bbox="1182 651 1374 680"></td> </tr> <tr> <td data-bbox="632 685 975 714"></td> <td data-bbox="978 685 1179 714"></td> <td data-bbox="1182 685 1374 714"></td> </tr> <tr> <td data-bbox="632 719 975 748"></td> <td data-bbox="978 719 1179 748"></td> <td data-bbox="1182 719 1374 748"></td> </tr> <tr> <td data-bbox="632 752 975 781"></td> <td data-bbox="978 752 1179 781"></td> <td data-bbox="1182 752 1374 781"></td> </tr> </tbody> </table>	Name of the Bank	Audit begin-date	Audit end-date													<p data-bbox="632 824 1362 891">Explain audit experience in Finacle CBS and E-banking solution:</p> <p data-bbox="632 925 1342 958">Indicate if any of the audit scope that was outsourced:</p>
Name of the Bank	Audit begin-date	Audit end-date															
IS Audits carried out till 31.03.2020 at other organizations																	
IS Audit Methodology used for CBS implementation																	
Applicant's experience in Vulnerability Assessment and Penetration Testing, Security review for Internet Banking Architecture	<p data-bbox="632 1317 1150 1350">Expertise in Vulnerability Assessments:</p> <p data-bbox="632 1384 1070 1417">Expertise in Penetration Testing :</p> <p data-bbox="632 1451 1254 1518">Expertise in Security review of Internet Banking Architecture:</p> <p data-bbox="632 1552 1321 1619">Prior experience in VA/PT/Security review of Finacle E-Banking architecture:</p>																
Ability to carry out Vulnerability Assessment and Penetration Testing																	

Description	Details																		
Applicant confirms that there are no legal actions / restrictions by any regulatory authority that would affect the ability to deliver audit deliverables																			
The applicant confirms that they fully comply with the "Eligibility Criteria (4)" as mentioned in the RFP.																			
Qualifications of project leads that have led prior IS audit assignments for CBS implementation in a Bank	CISA : CISSP : Individual Curriculum Vitae of project leads and other key personnel enclosed.																		
Number of professional manpower available for IS audits	<p style="text-align: right;">Number</p> <table border="0"> <tr> <td>1. CISA/CISM</td> <td>:</td> <td></td> </tr> <tr> <td>2. CISSP</td> <td>:</td> <td></td> </tr> <tr> <td>3. BS7799/ ISO 27001 LA:</td> <td>:</td> <td></td> </tr> <tr> <td>4. CCNA/CCNE</td> <td>:</td> <td></td> </tr> <tr> <td>5. DISA/ISA</td> <td>:</td> <td></td> </tr> <tr> <td>6. Others</td> <td>:</td> <td></td> </tr> </table>	1. CISA/CISM	:		2. CISSP	:		3. BS7799/ ISO 27001 LA:	:		4. CCNA/CCNE	:		5. DISA/ISA	:		6. Others	:	
1. CISA/CISM	:																		
2. CISSP	:																		
3. BS7799/ ISO 27001 LA:	:																		
4. CCNA/CCNE	:																		
5. DISA/ISA	:																		
6. Others	:																		
Total number of employees																			
Man days estimate																			

Certified that the above particulars are true and correct to the best of our knowledge and belief.

**Authorized signatory with seal**

(To be signed by the legally authorized signatory)

Date :

Place: